

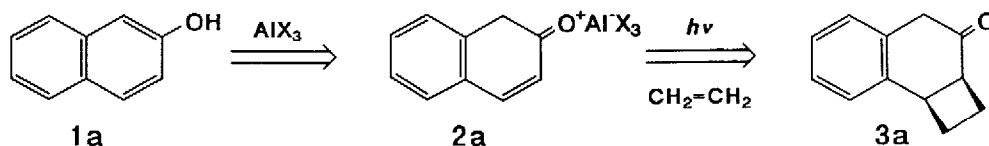
NOVEL PHOTOCYCLOADDITION OF 2-NAPHTHOLS TO ETHYLENE IN THE PRESENCE OF LEWIS ACID

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Summary: The photoreaction of 2-naphthols **1a-e** with ethylene in the presence of aluminum halide gave the [2+2] cycloadducts **3a-e** in good yields.

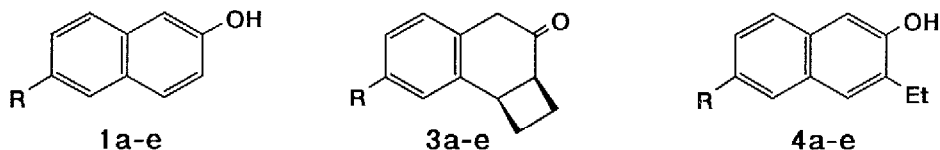
While [2+2] photocycloaddition of naphthalene derivatives to olefins has been extensively studied,¹⁾ such reaction of naphthols has been unknown except for that of 2-naphthol (**1a**) with acrylonitrile.²⁾ On the other hand, it has been shown that phenol derivatives, for example, **1a** forms complex **2a** with aluminum halide involving α, β -unsaturated ketone moiety.³⁾ In addition, photoisomerization of AlBr_3 complex of some phenol derivatives has been described.⁴⁾ In view of the well-known photochemistry of α, β -enones,⁵⁾ these observations led us to postulate that it would be possible to perform [2+2] photocycloaddition of 2-naphthol (**1a**) to olefin in the presence of aluminum halide to give cycloadduct **3a** by means of photoexcitation of the complex **2a**.⁶⁾



First, photoreaction of **1a** with ethylene was carried out. When CH_2Cl_2 solution of **1a**, AlCl_3 (2 equiv.), and ethylene (large excess) was irradiated with a high pressure mercury lamp through a Pyrex filter at -78°C for 8 h, photoreaction proceeded smoothly (conv. >70%) to give [2+2] cycloadduct **3a**⁷⁾ in 49% yield along with some by-products including **4a** (3%).⁸⁾ Moreover, with using 5 equiv. of AlCl_3 , cycloadduct **3a** was obtained more efficiently in 70% yield with negligible amount of by-products except for **4a** (12%). Similar results were obtained when AlBr_3 (2 equiv.) was employed instead of AlCl_3 (**3a**: 63%, **4a**: 15%). Of the Lewis acids examined (TiCl_4 , $\text{BF}_3\cdot\text{OEt}_2$, EtAlCl_2), only AlCl_3 and AlBr_3 were effective for the reaction.

In order to investigate the effect of substituent and applicability of this reaction, we next examined reactions of 2-naphthols **1b-e** having a variety of substituents (OH, OMe, Br, CO_2Me) at 6-position under similar conditions (5 equiv. of AlCl_3). Importantly, it was found that regardless of the nature of the substituents, cycloadducts **3b-e** were obtained in good yields; **3b**: 49%, **3c**: 66%, **3d**: 68%, **3e**: 68%.^{7,9)}

Thus, we have explored a novel type of [2+2] photocycloaddition of 2-naphthols which formally proceeds by way of its keto-tautomer form, though mechanistic detail of this reaction is yet to be clarified.



a: R=H, b: R=OH, c: R=OMe, d: R=Br, e: R=CO₂Me

It is noteworthy that the obtained [2+2] cycloadducts possess bicyclo[4.2.0]octan-2-one moiety which has been demonstrated to be a useful building block in natural product synthesis.⁵⁾

Further application and mechanistic study of this novel photoreaction are in progress.

References

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- 5) For recent reviews; S. W. Baldwin in *"Organic Photochemistry"*, Ed. by A. Padwa, Marcel Dekker, 1981, vol. 5, p 123; A. C. Weedon in *"Synthetic Organic Photochemistry"*, Ed. by W. M. Horspool, Plenum Press, 1984, p 61.
- 6) To make sure of our strategy, we measured IR spectrum of the complex **2a**. The spectrum of **1a** with AlCl₃ (5 equiv.) in CH₂Cl₂ solution revealed a new strong absorption at 1530 cm⁻¹ probably due to the conjugated enone structure coordinated by AlCl₃. The C=O stretching vibration of 2-cyclohexenone (1670 cm⁻¹) was shifted up to 1570 cm⁻¹ under similar conditions.
- 7) Yields are based on naphthols consumed. All new compounds gave satisfactory spectral and analytical data. Selected ¹H-NMR data (400MHz, δ, ppm) which were taken at Faculty of Engineering, Osaka University, are as follows:
 - 3a** (CDCl₃): 7.25-7.16 (m, 2H), 7.12 (d, J=8.3Hz, 1H), 7.06 (d, J=6.8Hz, 1H), 4.05 (br q, 1H), 3.68(ABq, J=16.0Hz, 2H), 3.31-3.24 (m, 1H), 2.68-2.49 (m, 1H), 2.43-2.33 (m, 1H), 2.26-2.16 (m, 1H), 2.13-2.04 (m, 1H).
 - 3b** (d₆-DMSO): 9.25 (s, 1H), 6.89 (d, J=8.2Hz, 1H), 6.60 (dd, J=8.2, 2.4Hz, 1H), 6.52 (d, J=2.0Hz, 1H), 3.97 (br q, 1H), 3.52 (ABq, J=16.0Hz, 2H), 3.26-3.20 (m, 1H), 2.57-2.35 (m, 1H), 2.28-2.19 (m, 1H), 2.09-1.92 (m, 1H), 1.91-1.86 (m, 1H).
 - 3c** (CDCl₃): 6.98 (d, J=8.3Hz, 1H), 6.76 (dd, J=8.3, 2.4Hz, 1H), 6.65 (d, J=3.0Hz, 1H), 4.02 (br q, 1H), 3.79 (s, 3H), 3.61 (ABq, J=16.0Hz, 2H), 3.30-3.23 (m, 1H), 2.67-2.58 (m, 1H), 2.42-2.32 (m, 1H), 2.26-2.17 (m, 1H), 2.12-2.04 (m, 1H).
 - 3d** (CDCl₃): 7.31 (dd, J=8.3, 2.0Hz, 1H), 7.27 (br d, J=ca. 2Hz, 1H), 6.94 (d, J=8.3Hz, 1H), 4.02 (br q, 1H), 3.62 (ABq, J=18.9Hz, 2H), 3.38-3.24 (m, 1H), 2.68-2.57 (m, 1H), 2.44-2.34 (m, 1H), 2.26-2.17 (m, 1H), 2.12-2.01 (m, 1H).
 - 3e** (CDCl₃): 7.85 (dd, J=8.1, 1.4Hz, 1H), 7.82 (br s, 1H), 7.15 (d, J=8.1Hz, 1H), 4.11 (br q, 1H), 3.91 (s, 3H), 3.73 (ABq, J=17.6Hz, 2H), 3.35-3.29 (m, 1H), 2.70-2.66 (m, 1H), 2.44-2.39 (m, 1H), 2.25-2.20 (m, 1H), 2.13-2.07 (m, 1H).
- 8) 1,1'- Bi-2-naphthol (22%), 2-tetralone (12%), and 3-ethyl-2-tetralone (3%) were also obtained.
- 9) Except for **1b**, 6-substituted 3-ethyl-2-naphthols were also produced; **4c**: 23%, **4d**: 17%, **4e**: 10%. In the case of **1b**, the reaction turned out to be slower and the conversion was lower (<50%) than the others (>70%) after similar irradiation time.

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